

Safety Systems Review – Facilities Management

Guidance and specification Version 1 – 2014

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Safety Systems Review

The review will evaluate how effectively your organisation's health and safety arrangements are being implemented. It can be used for single or multiple sites to benchmark your systems.

Benefits

- Independent review of how effectively your systems are being implemented at selected site(s)
- Recommendations for improvement within a user friendly report including an action planning table
- Ongoing support to help you maximise business efficiencies from your management systems
- Opportunity to benchmark effective management between sites
- · Provides a base point for more in depth auditing
- A cost effective solution to maintaining health and safety standards across the business.

How does it work?

The review is based on this specification which contains:

- · An outline of each element of the review
- · Details of a quantifiable scoring process
- · Typical information requirements during the review
- Suggestion for personnel required during the review (interviewees).

The specification is discussed with your site management before the review.

You will receive a summary report with recommendations for improvement and details of how well your systems and arrangements are being implemented at chosen sites.

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1.

Specification for Safety Systems Review – Facilities Management

Section 1 - health and safety management

Element headings		Purpose and scope	Score	Typical information/evidence requirements	Possible interviewee(s)
1.	Health and Safety Management System(s) and Arrangements	arrangement arrangements and procedures are effectively communicated to all employees on site. To ensure the safety management system(s) (SMS)	25	Policy statement – signed and dated	Site Manager
				SMS available in either electronic or paper	Supervisor
				based format which is easily accessible to everyone	Safety representative
		content is readily available, easy to follow and that staff have a good awareness of relevant content.		Training/information given to all staff on contents of SMS and how to complete relevant forms etc.	Employees
				Induction process	
2.	Competent Occupational Health and Safety	To ensure that management on site has received the appropriate training and information to enable them to implement the requirements of the SMS.	25	Documented job description/role profile with relevant OH&S responsibilities clearly defined	Site Manager Supervisor
	(OH&S) Personnel	To ensure competent advice and support is		Suitable training commensurate with the	HR Personnel
		available for on site management.		risks of the business and role responsibilities	OH&S Manager/
				Clear reporting and communication	Advisor
				channels	Safety
				Access to OH&S support	representative
3.	Consultation and Communication	To ensure the site receives regular communication from Head Office on OH&S issues.	30	Health and safety bulletins/newsletter/notice board	Site Manager Safety
		To confirm that a system is in place which enables employees to raise OH&S issues and		Formal communication	representative
	enables employees to raise OH&S issues and receive feedback. To confirm staff representation at health and safety committee meetings. To confirm clear lines of communication between principle contractors and sub-contractors/suppliers.			Management reports	Employees
		To confirm staff representation at health and		Minutes of health and safety committee/ team meetings	Contractor management,
		To confirm clear lines of communication between		Evidence of staff involvement in OH&S issues	supervisors and employees
			Suggestion schemes		
			Pre-contract meetings – daily or weekly progress meetings – inclusion in works council/safety committee meetings		
4.	Competence	To confirm that all staff are appropriately trained	25	Training plan(s) and records	Employees
	and Training	to carry out their tasks competently.		Job description/role profile	Supervisor
	is i To nea	To ensure a suitable OH&S induction process is in place on site.		Certification, licenses, etc.	Site/Group HR
				Appraisal process and CPD plans	personnel
		To ensure that staff development and training needs are continually reviewed including any mandatory refresher training.		Induction process	Contractors
5.	First Aid Facilities (including	t Aid Facilities To ensure that adequate first aid resources 20 luding (personnel and equipment) are in place on site.	20	First aid assessment	Site/Group HR personnel
	Occupational Health)			First aid equipment/facilities	•
				Occupational health facility available	Site Manager
				at site level	First Aiders
				Health surveillance and lifestyle promotions	Employees
				Return to work policy	Occupational Health
_				Training records	Safety
		Emergency first aid is available for others on site.			Representative

Element headings		Purpose and scope	Score	Typical information/evidence requirements	Possible interviewee(s)
6.	Workplace To ensure all areas of the workplace are subject to regular inspection and when non conformities are raised, they are dealt with in a timely manner.	25	Documented workplace inspections including equipment and external areas	Site Manager Safety	
			Inspection schedule/programme	representative	
		To confirm the site has been subject to a suitably detailed internal health and safety audit.		Identified actions monitored for completion	Supervisor
				and signed off in a timely manner	Inspection
				Competent personnel to carry out inspection(s)	Personnel
				Management involvement in inspection	Internal auditor(s)
				process Audit report(s) and schedule	OH&S Manager/ Advisor
				Input from head office	Sub-contractor personnel
7.	Management	To ensure that whenever any organisational or	20	Risk assessment of potential impact on H&S	Site Manager
	of Change	site level change occurs, all potential issues affecting health and safety are suitably assessed and managed in an appropriate manner.		of change Communication of assessment findings	OH&S Manager/ Advisor
		and managed in an appropriate manner.			HR personnel
					Supervisors
					Employees
8.	Management and are in Access Routes vehice	To ensure suitably robust policies and procedures are in place to manage the risks associated with vehicles and any mobile plant movement, to	25	Traffic management risk assessment	Site management
				One way circuits, speed limits, speed reduction schemes and lines of visibility	OH&S Manager
	include site based and visiting vehicles. Loading and delivery locations managed to reduce risk and maintain control, with reversing minimised or controlled. Site access and egress for vehicles is managed to reduce risks to public routes and nearby		Records of inspections	All site staff and Contractors	
			Segregation of pedestrians and vehicles	Visitors	
			Suitable lighting, crossing points and signs	Delivery drivers	
			Implementation of controls	Bonvery anvere	
		businesses.			
		To ensure access and egress routes for pedestrians are managed to reduce the risk of interaction with vehicles and plant.			
9.	Control of Contractors	To ensure that there are suitable control systems in place on site to reduce risk presented to employees, others and property by the activities of contractors.	30	Contractor selection and approval	Site Manager
				documentation	Sub- contractor
				Contractor induction and ID	management
		All equipment brought to site by sub-contract		Approved contractor register	OH&S Manager/ Advisor
		personnel subject to initial and continued inspection.		Monitoring of contractor performance	Contractor
		inspection.		Risk assessment(s)/method statement(s)	personnel
				Training records/site induction records	
10	Risk Assessment	To ensure suitable, sufficient and current risk	30	Specific and generic risk assessments	Site Manager
	and Safe Working Practices assessments have been carried out at site level which identify hazards and assess risks to workers and others who may be affected by the undertakings of the organisation. To ensure identified controls are effectively implemented. To confirm risk assessments have been communicated to all relevant personnel.			covering routine and non routine tasks	Supervisor
			Tool box talks/team briefings/training records	Safety Representative	
			Management and staff awareness	Employee(s)	
			Safe working procedures (operational control)		
			Training records		
		To review subsequent safe working procedures and practices, ensuring they are carried out in a safe and effective manner.			

Element headings	Purpose and scope	Score	Typical information/evidence requirements	Possible interviewee(s)
I1. Work Equipment	To ensure that suitable procedures and controls are in place for the provision and safe use of work equipment throughout the site, including both administrative and operational measures. Equipment to be reviewed will depend upon the	25	Planned preventative maintenance programme	Site Manager
			Risk assessment(s)	Employee(s)
			Maintenance records. Inspection records (including thorough examination)	Maintenance personnel
	nature of activities being undertaken.		Adequate space/access to work equipment	
	Power tools.		Hand tools in good order, inspected and	
	Pressurised cylinders and ancillaries.		stored correctly	
	Static plant (compressors – generators etc.).		Defect reporting system	
	Any other items identified by auditor.		Emergency stop controls and signage	
			Training records	
12. Management of Shared	To ensure suitable arrangements are in place for the management of hazards and risks presented	25	Risk assessment	Site Management
Workplace	to, and by, other occupants of the premises.		Health and safety/Client meetings	OH&S Manager
	To review arrangements for the communication of health and safety issues to other occupants, and		Workplace procedures	Employees and Supervisors
	the consultation process with the aforementioned.			Occupants of premises
3. Permit to Work	To ensure robust policies and procedures are in place for the management of high risk activities.	30	Formal and detailed policies and procedures	Site Manager
			Not simply used for contractor control, restricted to high risk activities only (HV - access at height – confined space – hot	OH&S Manager
	Written procedures in place to identify specific PTW activities.			Supervisor(s)
			work in hazardous environments). Issued for period of task but not for days or weeks.	Employees
			Training records specific to hazards being managed, both issuers and receivers of PTW	
			Risk assessment(s) and safe systems of work	
			Permits issued and closed appropriately, with detailed procedures to ensure measures maintained should extension be authorised.	
14. Working at	To ensure all activities that involve working at	30	Risk assessments and safe systems of work	Site Manager
Height	height are suitably planned and controlled.		Permits to work or temporary work	OH&S Manager
	Written procedures and suitable management competence for activities being conducted.		authorisation	Supervisor(s)
	Suitable equipment (MEWP – ladder – roof access – formwork access) being employed.		Training records	Employees
			Equipment inspection records	Contractors
			Activities to be observed to ensure personnel are following the hierarchy of control	
			Edge protection systems (including working over water)	
15. Control of	To review arrangements regarding the	30	Risk assessments and safe systems of work	Site Manager
Asbestos	identification and subsequent control of any asbestos containing materials (ACM) on site.		Asbestos register	OH&S Manager
	Written procedures and documented survey.		Asbestos survey (by licensed personnel)	Supervisor(s)
	Asbestos register and contractor induction.		Inspection records	Contractors
	Identification of ACMs within the premises.		Maintenance and disposal records	

Element headings	Purpose and scope	Score	Typical information/evidence requirements	Possible interviewee(s)
16. Lifting Operations	To review all arrangements regarding operations requiring lifting of equipment and personnel.	30	Risk assessments and safe systems of work	Site Manager
and Equipment			Authorisations	OH&S Manager
	Written procedures covering:		Selection and assessment of contractors	Supervisor(s)
	 Suitable equipment selection and maintenance Competent personnel conducting activities 		Training records	Employees
	Management of danger zones and exclusion areas		Inspection records	Contractors
			Maintenance and examination records	
	 Methods of communications suitable for activities conducted. 			
17. Control of	To review arrangements (including storage and	30	Hazardous substance index	Site Manager
Hazardous Substances	labelling) for the control of potential exposure of persons and the environment to substances		COSHH assessments/safety data sheets	Supervisor(s)
	hazardous to health (including asbestos		Legionellosis survey/assessment	Employees
	management).		Training records	Contractors
	PPE		Storage and security facilities	
		PPE		
			Spillage/leak procedures	
18. Electrical	To review arrangements and controls intended to prevent the risk of electrocution from portable or fixed electrical appliances and/or systems.	25	Maintenance, test and inspection records	Site Manager
Installations, Equipment			Risk assessments/safe working procedures	Maintenance
and Portable Appliances	Suitable access limitations to electrical installations.		Secure access to high voltage/electrical equipment locations	personnel Contractors
Isolation and lock out pro	Isolation and lock out procedures.		All hand tools 110v or battery operated where appropriate	
	To ensure legislative duties in respect of the above are being met.		Training records	
19. Manual Handling	To ensure suitable assessments of manual	25	Manual handling assessments	Site Manager
	handling tasks have been carried out by competent persons.		Training records	Supervisor(s)
	To confirm all staff have received relevant manual		Equipment records	HR personnel
	handling training.			Employees
	To confirm provision of MH mechanical and automated aids.			
20. Safety Signage	To ensure appropriate health and safety signage	15	Risk assessments	Employees
and Notices	is prominently displayed throughout the site including:		Fire and emergency information signage	
	H&S legal poster		Inspection records	
	Mandatory, prohibition, warning and safe condition signage			
	 Fire escape route and other emergency information signage. 			

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Elen	nent headings	Purpose and scope	Score	Typical information/evidence requirements	Possible interviewee(s)
21. Public Protection		To confirm suitable protection measures have been introduced and managed to reduce the risks to members of the public or neighbours.	25	Risk assessments	Site Management
			Fences and barriers – including gates and	OH&S Manager	
				access control	Supervisors
				Inspection records	Employees
				Interaction with neighbouring business	Neighbours
				Site safety and security checks (materials, stacking and storage, vehicles, access to scaffold and ladders, excavations, CoSHH items etc.).	Contractors
				Traffic and pedestrian management	
	Accident,	To ensure procedures are in place for the	30	Safe working procedures	Site Management
	Incident and Near Miss Reporting	reporting of all accidents and incidents (including near miss and damage) and that such events are		Accident/incident report data	OH&S Manager
	and Investigation subsequently investigated to prevent		Investigation documentation	Employees and	
		re-occurrence. To confirm all relevant incidents/accidents are reported to the relevant authorities as required.		Site reports to management	Supervisors to ascertain their knowledge of
				Training records	
				First aid record	incidents and recommendations
					to prevent recurrence
	To ensure adequate welfare and hygiene facilities Welfare Facilities (including toilets, rest area, canteen, changing area, etc.) have been provided for employees and other site personnel. To ensure general and specific waste is collected and disposed of in a suitable manner.		25	Risk assessments	Site Manager
'				Inspection records	
				Cleaning schedule(s)	
			Waste transfer notes		
	Protective Equipment (PPE)	tective relevant staff.	25	PPE assessment	Site Manager
				PPE records	HR personnel
				Training records	Employees
		To ensure process for replacement of PPE is in place.		Storage and cleaning facilities	
		To confirm staff awareness and use of PPE.			
25 .		To confirm management of the work area to prevent	20	Roles and responsibilities	Site Manager
				Inspection records	Supervisor(s)
			Material storage and waste management		
			Segregation of pedestrian routes and materials		
				Cable management	
26 .	and Record are maintained in a current and valid state. Keeping	nentation To ensure all relevant documentation and records	20	Risk assessments	Site Manager
		are maintained in a current and valid state.		Accident records	
'		To ensure all documentation is readily available for review.		Maintenance and examination records	
		All statutory required records to be maintained			

Section 2 – control of emergency incidents

		Purpose and Scope	Score	Typical information/evidence requirements	Possible Interviewee(s
8.	Fire Risk Assessment Alarm Systems	To ensure a suitable and sufficient fire risk assessment(s) has been carried out on site which identifies the hazards and associated risks of fire. To ensure all identified controls are being effectively implemented. To confirm that the risk assessment content has been effectively communicated to relevant personnel. To ensure that effective systems are in place for the manual or automatic detection of fire and the audible/ visual warning to those who will need to evacuate the site in the event of a fire incident. To confirm such systems are suitably maintained and tested on a regular basis.	25	Fire risk assessment(s) Evidence of identified actions closed out Hot work permits being issued where required Training records Inspection records (briefings/ meetings, etc.) Communication of assessment content Maintenance records Fire alarm test records Fire risk assessment(s) Training records Inspection records Signage	Site Manager Supervisor(s) H&S Advisor/ Manager Maintenance personnel Fire Warden(s) Site Manager Supervisor(s) H&S Advisor/ Manager Maintenance personnel Fire Warden(s)
	Fire Fighting Equipment	To ensure that there is adequate fixed/portable fire-fighting equipment available on site and that such equipment is maintained and serviced on a regular basis. To confirm relevant personnel have received training in the correct use and application of such equipment.	25	Fire risk assessment(s) Maintenance and inspection records Training records Visual inspection Signage	Employees Site Manager Supervisor(s) H&S Advisor/ Manager Maintenance personnel Fire Warden(s)
	Evacuation, Drill and Instruction	To confirm that effective procedures are in place to ensure that all personnel on site (including anyone with specific needs) can evacuate to a safe place in the event of an emergency. To ensure that suitably trained personnel are on site to effectively implement the aforementioned procedures (including use of any related evacuation equipment). To ensure the evacuation procedures are tested on a regular basis.	30	Evacuation procedures Fire drill records Training records Risk assessment(s)/personal evacuation plans	Site Manager Supervisor(s) Fire Wardens H&S Advisor/ Manager
32.	Emergency Escape Routes, Exits and Lighting Emergency Planning	To ensure that adequate means of escape are provided and maintained for the safe evacuation of people from the site and all locations within the site. To confirm appropriate escape route/exit signage and lighting is in place. To confirm such emergency lighting is tested and maintained on a regular basis. To review site/organisational contingency planning in the event of a major incident. All significant and potential emergencies assessed,	25	Inspection records Fire Risk assessment(s) Emergency lighting test and servicing records Signage (including assembly point) Visual inspection Emergency plans and procedures Business recovery plan (site level and/or organisational)	Site Manager Fire Wardens Maintenance Personnel Employees Site Manager H&S Advisor/ Manager
33.	Security	planned and tested (rescue from height, fire, medical, electrical, adverse weather including flooding, confined space etc.). To confirm site management awareness and testing of contingency plans. To ensure suitable arrangements are in place for the security of personnel and property within the premises. To ensure confidential data and records are suitably stored and protected.	25	Training records Management meetings Restricted access systems Authorisation and permit systems CCTV Fencing and barriers Training records	Supervisors Employees Contractors Site Manager Employees Contractors

Preparing for the review

In order for the review to run as smoothly as possible, it is important for the organisation to prepare in advance.

With this specification document, the organisation will be able to determine the type of information they are likely to be asked for, and the persons that the auditor may wish to speak to. Documents should be readily available, whether in paper format or electronically. If held on computer, it would be advisable to print off an index list of what is available, so that the auditor can match the document to a particular element of the review.

Our auditors are flexible and will willingly review elements 'out of sequence' in order to accommodate members of staff who may only be available at certain times; however, it must be remembered that on short duration reviews there is limited time available.

Standardisation

On an annual basis the British Safety Council reviews and updates the review process and its contents to reflect the current sector management techniques and relevant legislative requirements. The review is subject to periodic review and amendment and there is potential for bespoke versions of the specification to be developed.

Standardisation of acceptable evidence and auditor scoring is also reviewed on a regular basis as part of our internal QA process which includes both on-site and specific report feedback. There is also an annual appraisal interview and CPD review for our auditors.

The tour of the premises and site

This important aspect of the review should be carried out at an agreed time and encompass all aspects of the site. During the tour, the auditor may take the opportunity to talk to employees, contractors and visitors to further verify the evidence required for the completion of the review. If more than one site is included within the assessment, the travelling time between sites must be taken into account during the scoping stage with the British Safety Council account manager.

The competency of the auditor

The auditors receive initial training on the review protocol and this is updated as and when necessary. All our auditors are experienced health and safety practitioners with appropriate qualifications and membership of relevant professional bodies. They are expected to maintain their CPD as per the requirements of their membership of the aforementioned professional bodies. In addition the British Safety Council holds an annual standardisation meeting during which auditors receive both an update of organisational requirements and external CPD from industry specific experts. Auditors in their initial period of work are given additional support in the form of extra QA and one to one discussion with the audit portfolio manager.

Element headings

1.0	Health and safety management	Score
1	Health and Safety System(s) and Arrangements	25
2	Competent OH&S Personnel	25
3	Consultation and Communication	30
4	Training	25
5	First Aid Facilities (including Occupational Health)	20
6	Workplace Inspections and Internal Auditing	25
7	Management of Change	20
8	Traffic Management and Access Routes	25
9	Control of Contractors	30
10	Risk Assessment and Safe Working Practices	30
11	Work Equipment	25
12	Management of Shared Workplace	25
13	Permit to Work	30
14	Working at Height	30
15	Control of Asbestos	30
16	Lifting Operations and Equipment	30
17	Control of Substances Hazardous to Health	30
18	Electrical Installations, Equipment and Portable Appliances	25
19	Manual Handling	25
20	Safety Signage and Notices	15
21	Public Protection	25
22	Accident, Incident and Near Miss Reporting and Investigation	30
23	Workplace Welfare Facilities	25
24	Personal Protective Equipment	25
25	Housekeeping	20
26	Documentation and Record Keeping	20
	Sub section total	665
2.0	Control of emergency incidents	Score
27	Fire Risk Assessment	25
28	Alarm Systems	25
29	Fire Fighting Equipment	25
30	Evacuation, Drill and Instruction	30
31	Emergency Escape Routes, Exits and Lighting	30
32	Emergency Planning and Business Continuity	20
33	Security	25
	Sub section total	180
	Maximum available score	845



No-one should be injured or made ill at work.

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